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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Lo et al. :
Appln. No. 09/247,413 : Art Unit: 1765
Filed: February 10, 1999 : Examiner: Matt Anderson
For: METHOD FOR DESIGN OF : Atty Docket: NOK-009.01
EPITAXIAL LAYER AND SUBSTRATE
STRUCTURES FOR HIGH-QUALITY
EPITAXIAL GROWTH ON LATTICE-
MISMATCHED SUBSTRATES

#13
11/29/00
mw

CERTIFICATE OF MAILING

I hereby certify that the foregoing document is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231 on November 16, 2000.

Robert King

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(c)

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Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. Applicants hereby certify that the cited documents were identified in an International Search Report in a counterpart PCT application. Since this IDS is submitted subsequent to an Office Action and more than 3 months after receipt of the International Search Report, in accordance with 1.97(e) and 1.17(p) a fee of \$240.00 is enclosed. A copy of the references is also enclosed, except of the U.S. Patents identified as: AV and AW in the form PTO 1449.

Applicants respectfully request that the Examiner consider the cited documents and indicate that they were considered by making appropriate notations on the attached form.

11/22/2000 UKORDHA 00000058 09247413

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Rep'n. Ref.: 11/22/2000 UKORDHA 0012363600
DAB:061448 Name/Number:09247413 \$60.00 CR
FC: 704

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the listed documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the cited documents be applied against the claims of the present application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our Deposit Account No. 06-1448.

Respectfully submitted,

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Date: November 16, 2000

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